Performance Analysis

Kuan-Yu Chen (陳冠宇)

2020/09/21 @ TR-313, NTUST

Review

• Data type determines the set of values that a data item can take and the operations that can be performed on the item

Data Type	Size in Bytes	Range	Use
char	1	-128 to 127	To store characters
int	2	-32768 to 32767	To store integer numbers
float	4	3.4E-38 to 3.4E+38	To store floating point numbers
double	8	1.7E-308 to 1.7E+308	To store big floating point numbers

- Algorithm and Program
 - Algorithms + Data Structures = Programs
- Recursive Functions
 - Direct
 - Indirect
 - Tail
 - Compared with non-recursive functions

Space and Time Complexity

- Analyzing an algorithm means determining the amount of resources (such as time and memory) needed to execute it
 - The **time complexity** of an algorithm is basically the running time of a program as a function of a given input
 - The space complexity of an algorithm is the amount of computer memory that is required during the program execution as a function of a given input

Space Complexity

- The space analysis can be classified into two parts
 - Fixed part
 - The instruction space, space for simple variables, space for constants, etc
 - Variable part
 - Space needed by referenced variables
 - The recursion stack space
 - Accordingly, the space requirement S(P) of a program P can be defined

$$S(P) = c + S_p$$
fixed part variable part usually a constant depend on the task

- We usually concentrate on S_p

Recursion Stack Space.

$$A(m,n) = \begin{cases} n+1, & \text{if } m = 0\\ A(m-1,1), & \text{if } n = 0\\ A(m-1,A(m,n-1)), & \text{otherwise} \end{cases}$$

$$A(1,2) = A(0, A(1,1))$$

$$A(1,1) = A(0, A(1,0))$$

$$A(1,0) = A(0,1)$$

$$A(0,1) = 2$$

Recursion Stack Space..

$$A(m,n) = \begin{cases} n+1, & \text{if } m = 0\\ A(m-1,1), & \text{if } n = 0\\ A(m-1,A(m,n-1)), & \text{otherwise} \end{cases}$$

$$A(1,2) = A(0,A(1,1)) = A(0,3) = 4$$

$$A(1,1) = A(0,A(1,0)) = A(0,2) = 3$$

$$A(1,0) = A(0,1) = 2$$

$$A(0,1) = 2$$

Recursion Stack Space...

$$A(m,n) = \begin{cases} n+1, & \text{if } m = 0\\ A(m-1,1), & \text{if } n = 0\\ A(m-1,A(m,n-1)), & \text{otherwise} \end{cases}$$

$$A(1,2) = A(0, A(1,1))$$

$$A(1,1) = A(0, A(1,0))$$

$$A(1,0) = A(0,1)$$

$$A(1,0) = A(0,1)$$

$$A(1,2)$$

Recursion Stack Space....

$$A(m,n) = \begin{cases} n+1, & \text{if } m = 0\\ A(m-1,1), & \text{if } n = 0\\ A(m-1,A(m,n-1)), & \text{otherwise} \end{cases}$$

$$A(1,2) = A(0,A(1,1)) = A(0,3) = 4$$

$$A(1,1) = A(0,A(1,0)) = A(0,2) = 3$$

$$A(1,0) = A(0,1) = 2$$

$$A(1,0)$$

$$A(1,1)$$

$$A(1,2)$$

$$A(1,2)$$

Time Complexity

- The time, *T*(*P*), taken by a program *P* is the sum of the **compile time** and the **run (execution) time**
 - We mainly concentrate on the run time of a program

$$T(P) = c + T_p$$
compile time run time

- There are two ways to determine the run time
 - Measurement

Execute the program

- Record the CPU time
- Analysis

Count only the number of program steps

Count the number of instructions

Example

• How many times does the function *call_fun()* execute?

$$\sum_{a=1}^{n} (a^2 - a) = \sum_{a=1}^{n} a^2 - \sum_{a=1}^{n} a = \frac{n(n+1)(2n+1)}{6} - \frac{n(n+1)}{2} = \frac{n(n+1)(n-1)}{3}$$

$$\sum_{a=1}^{n} a^2 = 1^2 + 2^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6}$$

Expressing Time and Space Complexity

- The time and space complexities of a given function *f*(*n*), where *n* is a given input for the algorithm, can be expressed by some notations
 - We introduce some terminologies that will enable us to make meaningful but inexact statements about the time and space complexities of a program

Definition [*Big* "*oh*"]: f(n) = O(g(n)) (read as "*f* of *n* is big oh of *g* of *n*") iff (if and only if) there exist positive constants *c* and n_0 such that $f(n) \le cg(n)$ for all $n, n \ge n_0$. \Box

Definition: [Omega] $f(n) = \Omega(g(n))$ (read as "*f* of *n* is omega of *g* of *n*") iff there exist positive constants *c* and n_0 such that $f(n) \ge cg(n)$ for all $n, n \ge n_0$. \Box

Definition: [Theta] $f(n) = \Theta(g(n))$ (read as "*f* of *n* is theta of *g* of *n*") iff there exist positive constants c_1, c_2 , and n_0 such that $c_1g(n) \le f(n) \le c_2g(n)$ for all $n, n \ge n_0$. \Box

Definition [*Big* "*oh*"]: f(n) = O(g(n)) (read as "*f* of *n* is big oh of *g* of *n*") iff (if and only if) there exist positive constants *c* and n_0 such that $f(n) \le cg(n)$ for all $n, n \ge n_0$. \Box

• f(n) = O(g(n)) means that $c \times g(n)$ is an **upper bound** on the value of f(n) for all n, where $n \ge n_0$



Definition [*Big* "*oh*"]: f(n) = O(g(n)) (read as "*f* of *n* is big oh of *g* of *n*") iff (if and only if) there exist positive constants *c* and n_0 such that $f(n) \le cg(n)$ for all $n, n \ge n_0$. \Box

• f(n) = O(g(n)) means that $c \times g(n)$ is an **upper bound** on the value of f(n) for all n, where $n \ge n_0$

Example 1.14: 3n + 2 = O(n) as $3n + 2 \le 4n$ for all $n \ge 2$. 3n + 3 = O(n) as $3n + 3 \le 4n$ for all $n \ge 3$. 100n + 6 = O(n) as $100n + 6 \le 101n$ for $n \ge 10$. $10n^2 \div 4n + 2 = O(n^2)$ as $10n^2 + 4n + 2 \le 11n^2$ for $n \ge 5$. $1000n^2 + 100n - 6 = O(n^2)$ as $1000n^2 + 100n - 6 \le 1001n^2$ for $n \ge 100$. $6*2^n + n^2 = O(2^n)$ as $6*2^n + n^2 \le 7*2^n$ for $n \ge 4$. $3n + 3 = O(n^2)$ as $3n + 3 \le 3n^2$ for $n \ge 2$. $10n^2 + 4n + 2 = O(n^4)$ as $10n^2 + 4n + 2 \le 10n^4$ for $n \ge 2$. $3n + 2 = O(n^4)$ as $10n^2 + 4n + 2 \le 10n^4$ for $n \ge 2$. 3n + 2 = O(1) as 3n + 2 is not less than or equal to *c* for any constant *c* and all $n, n \ge n_0$. $10n^2 + 4n + 2 \ne O(n)$. \Box

Definition [*Big* "*oh*"]: f(n) = O(g(n)) (read as "*f* of *n* is big oh of *g* of *n*") iff (if and only if) there exist positive constants *c* and n_0 such that $f(n) \le cg(n)$ for all $n, n \ge n_0$. \Box

• f(n) = O(g(n)) means that $c \times g(n)$ is an **upper bound** on the value of f(n) for all n, where $n \ge n_0$

Example 1.14: 3n + 2 = O(n) as $3n + 2 \le 4n$ for all $n \ge 2$. 3n + 3 = O(n) as $3n + 3 \le 4n$ for all $n \ge 3$. 100n + 6 = O(n) as $100n + 6 \le 101n$ for $n \ge 10$. $10n^2 \div 4n + 2 = O(n^2)$ as $10n^2 + 4n + 2 \le 11n^2$ for $n \ge 5$. $1000n^2 + 100n - 6 = O(n^2)$ as $1000n^2 + 100n - 6 \le 1001n^2$ for $n \ge 100$. $6*2^n + n^2 = O(2^n)$ as $6*2^n + n^2 \le 7*2^n$ for $n \ge 4$. $3n + 3 = O(n^2)$ as $3n + 3 \le 3n^2$ for $n \ge 2$. $10n^2 + 4n + 2 = O(n^4)$ as $10n^2 + 4n + 2 \le 10n^4$ for $n \ge 2$. $3n + 2 = O(n^4)$ as $10n^2 + 4n + 2 \le 10n^4$ for $n \ge 2$. 3n + 2 = O(1) as 3n + 2 is not less than or equal to *c* for any constant *c* and all $n, n \ge n_0$. $10n^2 + 4n + 2 \ne O(n)$. \Box

Definition [*Big* "*oh*"]: f(n) = O(g(n)) (read as "*f* of *n* is big oh of *g* of *n*") iff (if and only if) there exist positive constants *c* and n_0 such that $f(n) \le cg(n)$ for all $n, n \ge n_0$. \Box

• f(n) = O(g(n)) means that $c \times g(n)$ is an **upper bound** on the value of f(n) for all n, where $n \ge n_0$

Example 1.14: 3n + 2 = O(n) as $3n + 2 \le 4n$ for all $n \ge 2$. 3n + 3 = O(n) as $3n + 3 \le 4n$ for all $n \ge 3$. 100n + 6 = O(n) as $100n + 6 \le 101n$ for $n \ge 10$. $10n^2 \div 4n + 2 = O(n^2)$ as $10n^2 + 4n + 2 \le 11n^2$ for $n \ge 5$. $1000n^2 + 100n - 6 = O(n^2)$ as $1000n^2 + 100n - 6 \le 1001n^2$ for $n \ge 100$. $6*2^n + n^2 = O(2^n)$ as $6*2^n + n^2 \le 7*2^n$ for $n \ge 4$. $3n + 3 = O(n^2)$ as $3n + 3 \le 3n^2$ for $n \ge 2$. $10n^2 + 4n + 2 = O(n^4)$ as $10n^2 + 4n + 2 \le 10n^4$ for $n \ge 2$. $3n + 2 \ge O(1)$ as 3n + 2 is not less than or equal to *c* for any constant *c* and all $n, n \ge n_0$. $10n^2 + 4n + 2 \ne O(n)$. \Box

Definition [*Big* "*oh*"]: f(n) = O(g(n)) (read as "*f* of *n* is big oh of *g* of *n*") iff (if and only if) there exist positive constants *c* and n_0 such that $f(n) \le cg(n)$ for all $n, n \ge n_0$. \Box

• f(n) = O(g(n)) means that $c \times g(n)$ is an **upper bound** on the value of f(n) for all n, where $n \ge n_0$

Example 1.14: 3n + 2 = O(n) as $3n + 2 \le 4n$ for all $n \ge 2$. 3n + 3 = O(n) as $3n + 3 \le 4n$ for all $n \ge 3$. 100n + 6 = O(n) as $100n + 6 \le 101n$ for $n \ge 10$. $10n^2 \div 4n + 2 = O(n^2)$ as $10n^2 + 4n + 2 \le 11n^2$ for $n \ge 5$. $1000n^2 + 100n - 6 = O(n^2)$ as $1000n^2 + 100n - 6 \le 1001n^2$ for $n \ge 100$. $6*2^n + n^2 = O(2^n)$ as $6*2^n + n^2 \le 7*2^n$ for $n \ge 4$. $3n + 3 = O(n^2)$ as $3n + 3 \le 3n^2$ for $n \ge 2$. $10n^2 + 4n + 2 = O(n^4)$ as $10n^2 + 4n + 2 \le 10n^4$ for $n \ge 2$. $3n + 2 \le O(1)$ as 3n + 2 is not less than or equal to *c* for any constant *c* and all $n, n \ge n_0$. $10n^2 + 4n + 2 \ne O(n)$. \Box

- For the statement f(n) = O(g(n)) to be **informative**, g(n) should be as small a function of n as one can come up with
 - 3n + 3 = 0(n) vs. $3n + 3 = 0(n^2)$
- Fantastic names
 - O(1) mean a computing time that is a constant
 - O(n) is called linear
 - $O(n^2)$ is called quadratic
 - $O(n^3)$ is called cubic
 - $O(2^n)$ is called exponential
- Ordering
 - $0(1) < 0(\log n) < 0(n) < 0(n\log n) < 0(n^2) < 0(n^3) < 0(2^n)$

Big-Oh...

• $0(1) < 0(\log n) < 0(n) < 0(n\log n) < 0(n^2) < 0(2^n)$



Big-Oh...

• $0(1) < 0(\log n) < 0(n) < 0(n\log n) < 0(n^2) < 0(n^3) < 0(n^c) < 0(2^n) < 0(3^n) < 0(c^n) < 0(n!) < 0(n^n) < 0(n^{c^n})$



Definition: [Omega] $f(n) = \Omega(g(n))$ (read as "*f* of *n* is omega of *g* of *n*") iff there exist positive constants *c* and n_0 such that $f(n) \ge cg(n)$ for all $n, n \ge n_0$. \Box

• The function g(n) is a lower bound on f(n)



Definition: [Omega] $f(n) = \Omega(g(n))$ (read as "*f* of *n* is omega of *g* of *n*") iff there exist positive constants *c* and n_0 such that $f(n) \ge cg(n)$ for all $n, n \ge n_0$. \Box

• The function g(n) is a lower bound on f(n)

Example 1.15: $3n + 2 = \Omega(n)$ as $3n + 2 \ge 3n$ for $n \ge 1$ (actually the inequality holds for $n \ge 0$, but the definition of Ω requires an $n_0 > 0$). $3n + 3 = \Omega(n)$ as $3n + 3 \ge 3n$ for $n \ge 1$. $100n + 6 = \Omega(n)$ as $100n + 6 \ge 100n$ for $n \ge 1$. $10n^2 + 4n + 2 = \Omega(n^2)$ as $10n^2 + 4n + 2 \ge n^2$ for $n \ge 1$. $6*2^n + n^2 = \Omega(2^n)$ as $6*2^n + n^2 \ge 2^n$ for $n \ge 1$. Observe also that $3n + 3 = \Omega(1)$; $10n^2 + 4n + 2 = \Omega(n)$; $10n^2 + 4n + 2 = \Omega(1)$; $6*2^n + n^2 = \Omega(n^{100})$; $6*2^n + n^2 = \Omega(n)$; $10n^2 + 4n^2 = \Omega(n)$; $10n^2 + 10n^2 = \Omega(n)$; $10n^2 + 10n^2 = \Omega(n)$

Definition: [Omega] $f(n) = \Omega(g(n))$ (read as "*f* of *n* is omega of *g* of *n*") iff there exist positive constants *c* and n_0 such that $f(n) \ge cg(n)$ for all $n, n \ge n_0$. \Box

• The function g(n) is a lower bound on f(n)

Example 1.15: $3n + 2 = \Omega(n)$ as $3n + 2 \ge 3n$ for $n \ge 1$ (actually the inequality holds for $n \ge 0$, but the definition of Ω requires an $n_0 > 0$). $3n + 3 = \Omega(n)$ as $3n + 3 \ge 3n$ for $n \ge 1$. $100n + 6 = \Omega(n)$ as $100n + 6 \ge 100n$ for $n \ge 1$. $10n^2 + 4n + 2 = \Omega(n^2)$ as $10n^2 + 4n + 2 \ge n^2$ for $n \ge 1$. $6*2^n + n^2 = \Omega(2^n)$ as $6*2^n + n^2 \ge 2^n$ for $n \ge 1$. Observe also that $3n + 3 = \Omega(1)$; $10n^2 + 4n + 2 = \Omega(n)$; $10n^2 + 4n + 2 = \Omega(1)$; $6*2^n + n^2 = \Omega(n^{100})$; $6*2^n + n^2 = \Omega(n^2)$; $6*2^n + n^2 = \Omega(n)$; $10n^2 + 4n^2 = \Omega(n)$

Definition: [Omega] $f(n) = \Omega(g(n))$ (read as "*f* of *n* is omega of *g* of *n*") iff there exist positive constants *c* and n_0 such that $f(n) \ge cg(n)$ for all $n, n \ge n_0$. \Box

• The function g(n) is a lower bound on f(n)

Example 1.15: $3n + 2 = \Omega(n)$ as $3n + 2 \ge 3n$ for $n \ge 1$ (actually the inequality holds for $n \ge 0$, but the definition of Ω requires an $n_0 > 0$). $3n + 3 = \Omega(n)$ as $3n + 3 \ge 3n$ for $n \ge 1$. $100n + 6 = \Omega(n)$ as $100n + 6 \ge 100n$ for $n \ge 1$. $10n^2 + 4n + 2 = \Omega(n^2)$ as $10n^2 + 4n + 2 \ge n^2$ for $n \ge 1$. $6*2^n + n^2 = \Omega(2^n)$ as $6*2^n + n^2 \ge 2^n$ for $n \ge 1$. Observe also that $3n + 3 = \Omega(1)$; $10n^2 + 4n + 2 = \Omega(n)$; $10n^2 + 4n + 2 = \Omega(1)$; $6*2^n + n^2 = \Omega(n^{100})$; $6*2^n + n^2 = \Omega(n^{100})$; $6*2^n + n^2 = \Omega(n^2)$; $6*2^n + n^2 = \Omega(n)$; $and 6*2^n + n^2 = \Omega(1)$. \Box

For the statement f(n) = Ω(g(n)) to be informative, g(n) should be as large a function of n as possible

$$-3n + 3 = \Omega(n) \text{ vs. } 3n + 3 = \Omega(1)$$

$$-6 \times 2^{n} + n^{2} = \Omega(2^{n}) \text{ vs. } 6 \times 2^{n} + n^{2} = \Omega(1)$$

Theta

Definition: [Theta] $f(n) = \Theta(g(n))$ (read as "*f* of *n* is theta of *g* of *n*") iff there exist positive constants c_1, c_2 , and n_0 such that $c_1g(n) \le f(n) \le c_2g(n)$ for all $n, n \ge n_0$. \Box

- The theta is more **precise** than both big-oh and omega
 - g(n) is both an upper and lower bound on f(n)



Theta

Definition: [Theta] $f(n) = \Theta(g(n))$ (read as "*f* of *n* is theta of *g* of *n*") iff there exist positive constants c_1, c_2 , and n_0 such that $c_1g(n) \le f(n) \le c_2g(n)$ for all $n, n \ge n_0$. \Box

The theta is more precise than both big-oh and omega
 - g(n) is both an upper and lower bound on f(n)

Example 1.16: $3n + 2 = \Theta(n)$ as $3n + 2 \ge 3n$ for all $n \ge 2$, and $3n + 2 \le 4n$ for all $n \ge 2$, so $c_1 = 3$, $c_2 = 4$, and $n_0 = 2$. $3n + 3 = \Theta(n)$; $10n^2 + 4n + 2 = \Theta(n^2)$; $6*2^n + n^2 = \Theta(2^n)$; and $10*\log n + 4 = \Theta(\log n)$. $3n + 2 \ne \Theta(1)$; $3n + 3 \ne \Theta(n^2)$; $10n^2 + 4n + 2 \ne \Theta(n)$; $10n^2 + 4n + 2 = \Theta(n)$; $10n^2 + 4n + 2 = \Theta(n)$; $10n^2 + 4n + 2 = \Theta(n)$

Example

- Given a recursive function $T(n) = 2T\left(\frac{n}{2}\right) + n$, where T(1) = 0, please write down the time complexity in big-oh for the function
 - We assume n is a power of 2 for simplification
 - That is $2^x = n$

$$T(n) = 2 \times T\left(\frac{n}{2}\right) + n$$

= $2 \times \left[2 \times T\left(\frac{n}{4}\right) + \frac{n}{2}\right] + n = 4 \times T\left(\frac{n}{4}\right) + 2 \times n$
= $4 \times \left[2 \times T\left(\frac{n}{8}\right) + \frac{n}{4}\right] + 2 \times n = 8 \times T\left(\frac{n}{8}\right) + 3 \times n$
= \cdots
= $n \times T\left(\frac{n}{n}\right) + (\log_2 n) \times n = n \log_2 n$
 $\therefore T(n) = O(n \log_2 n)$

Questions?



kychen@mail.ntust.edu.tw